

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/079,054	02/18/2002	Stephen T. Staphanos	R22.12-0028	5125
75	590 06/22/2004	EXAMINER		
WESTMAN, CHAMPLIN & KELLY SUITE 1600-INTERNATIONAL CENTRE		FRANK, Re	FRANK, RODNEY T	
	AVENUE SOUTH		ART UNIT	PAPER NUMBER
MINNEAPOLI	S, MN 55402-3319		2856	

DATE MAILED: 06/22/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

1		Application No.	Applicant(s)			
Office Action Summary		10/079,054	STAPHANOS ET AL.			
		Examin r	Art Unit			
		Rodney T. Frank	2856			
	Th MAILING DATE of this communication appears on the cov r sheet with the corr spondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)🖂	Responsive to communication(s) filed on 14	<u>May 2004</u> .				
2a)⊠	This action is <b>FINAL</b> . 2b) ☐ Th	is action is non-final.				
3)□	3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is					
	closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.			
Dispositi	on of Claims					
4)🖂	4)⊠ Claim(s) <u>14-31</u> is/are pending in the application.					
	4a) Of the above claim(s) is/are withdr	awn from consideration.				
·	Claim(s) is/are allowed.					
·	Claim(s) <u>14-31</u> is/are rejected.					
· · · · · · · · · · · · · · · · · · ·	Claim(s) is/are objected to.					
اـــا(٥	Claim(s) are subject to restriction and	or election requirement.				
Applicati	on Papers					
•	The specification is objected to by the Examir					
10)	10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
11)[	The oath or declaration is objected to by the i	Examiner. Note the attached Office	; Action of form PTO-152.			
Priority (	ınder 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
See the attached detailed Office action for a list of the certified copies not received.						
Attachmen	t(s)					
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
	e of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D  Notice of Informal F	ate Patent Application (PTO-152)			
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)  6) Other:						

Application/Control Number: 10/079,054 Page 2

Art Unit: 2856

#### DETAILED ACTION

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 14-16, 28, and 29 are rejected under 35 U.S.C. 102(b) as being anticipated by Thompson et al. (U.S. Patent Number 5,734,255; hereinafter referred to as Thompson). Thompson discloses a system (30) for providing electrical-power to remote communities (34) widely distributed over an extended geographical area (38) includes a generating station (32) located proximate each of the communities, each generating station supplying only that community to which it is proximate. The generating stations are in electronic communication with a central computer (40). Each generating station includes a plurality of generators (54-56). Each of the generators is controlled by a microprocessor based controller (64). Each controller is arranged to operate the generating station cooperatively with the other controllers associated with other generators in the station, and to assume a supervisory role in doing so by a mutual arbitration procedure among the controllers. Each controller is also arranged to monitor important generator operating parameters and to communicate these parameters to the central computer. Any controller may be reprogrammed by instructions communicated from the central computer, and functions of any controller may be overridden by instructions communicated from the central computer. The controllers include novel signal processing circuits (90 and 85) and logic for improved voltage and frequency regulation. The controllers also include novel circuits (92) and

logic for monitoring generator fuel consumption and calculating generator fuel-efficiency therefrom (Please see the abstract).

Page 3

1. In regard to claim 14, Thompson discloses and shows in figure 3 an electricity generation system comprising a first generator (56); a first controller (64) coupled directly to the first generator, a second generator (55); a second controller (64) coupled directly to the second generator and a remote control and monitoring means (48) coupled to both controllers to monitor and control the generators.

In regard to claim 15, column 7 lines 24-30 disclose the use of diesel engine generators.

In regard to claim 16, the controller is disclosed to be individually programmed, which would disclose an embedded means, such as a PC, to program the controller. (See column 8 lines 1-6).

In regard to claims 28 and 29, it is disclosed that whatever is monitored can be reported.

## Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 17-27, 30, and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thompson et al.

In regard to claim 17, it is disclosed that the controllers provide monitoring for the system.

In regard to claim 18, column 9 lines 64-65 and column 10 lines 53-67 disclose the monitoring of the phase of the power grid and it is obvious for the phase of the phases of the generator and the grid would have to be compatible.

In regard to claim 19, all of the communications mediums in the claim are well established in the networking art and would therefore be obvious at the time of the invention.

In regard to claim 20, though an Application Service Provider (ASP) is not specifically disclosed, this would be an obvious design choice to one of ordinary skill in the networking art.

In regard to claims 22-27, the controller is capable of storing various data points of interest and providing signals accordingly as disclosed in column 8 lines 52-55.

In regard to claims 30 and 31, though these specific limitations are not disclosed, they are viewed as mere design choices to the system that could be easily implemented if desired and therefore would be obvious to one of ordinary skill in the art.

## Response to Arguments

4. Applicant's arguments with respect to claims 14-31 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

- 5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The examiner has cited additional references, which are relevant to the general state of the art of the present invention, but are not specifically relied upon for this rejection at this time.
- 6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rodney T. Frank whose telephone number is (571) 272-2193. The examiner can normally be reached on M-F 9am -5:30p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hezron E. Williams can be reached on (571) 272-2208. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).